PTO/SB/21 (08 lease type a pius sign (+) inside this box -> + Approved for use through 10/31/2002. OMB 0651-003 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE r the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 09/869,003 TRANSMITTAL **Filing Date** September 25, 2001 **FORM** First Named Invent r SCALA, Giuseppe DEC 🛭 0 2002 (to be used for all correspondence after initial filing) 1648 Group Art Unit TECH CENTER 1600/2900 **Examiner Name** Jeffrey J. Stucker Total Number of Pages in This Submission Attorney Docket Number 015280-386200US ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form-in duplicate (for an Application) Group Appeal Communication to Board of Fee Attached ☐ Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Reply-"Response to Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Restriction Requirement" Petition Proprietary Information After Final Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request-2 mos. Change of Correspondence Address (please identify below): per accompanying Fee Transmittal Terminal Disclaimer Return Postcard Express Abandonment Request Request for Refund Information Disclosure Statement CD, Number of CD(s) The Commissioner is authorized to charge any additional fees to Certified Copy of Priority Deposit Account 20-1430. Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Townsend and Townsend and Crew LLP Firm Reg. No. 44,879 Jean M. Lockyer, Ph.D. Individual name Signature Date December 12, 2002 **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 2023 Lon this date December 12, 2002

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SF 1414685 v1

SCALA, Giuseppe

Patent and Traderis. Diffice: U.S. DEPARTMENT OF COMMERCE

FETRANSMITTAL

Application Number

Complete If Known

Application Number

O9/869,003

September 25, 2001

Patent fees are subject to annual revision.

**or number previously paid, if greater; For Reissues, see above

Filing Date

First Named Inventor

TECH CENTER 1600/2900 Applicant claims small entity status. See 37 CFR 1.27 **Examiner Name** Jeffrey J. Stucker Group Art Unit 1648 015280-386200US **TOTAL AMOUNT OF PAYMENT** 400 Attorney Docket No. METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) 3. ADDITIONAL FEES Credit Card __ MoneyOrder __ Other Check Entity Entity Large Deposit Account Fee Fee Deposit **Fee Description** Code Code (\$) (\$) Paid Account 20-1430 2051 Surcharge - late filing fee or oath 1051 130 65 Number 1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet. Deposit 1053 130 1053 130 Non-English specification Townsend and Townsend and Crew LLP Account 1812 2,520 1812 2.520 For filing a request for reexamination 1804 Requesting publication of SIR prior to 1804 9201 9201 The Commissioner is authorized to: (check all that apply) Examiner action Charge fee(s) indicated below Credit any overpayments 1805 1,840 1805 1.8401 Requesting publication of SIR after Charge any additional fee(s) during the pendency of this application Examiner action Charge fee(s) indicated below, except for the filing fee 1251 110 2251 55 Extension for reply within first month to the above-identified deposit account 1252 400 2252 200 Extension for reply within second 400 month **FEE CALCULATION** 1253 920 2253 460 Extension for reply within third month **BASIC FILING FEE** 1254 1,440 2254 720 Extension for reply within fourth Large Entity Small Entity month Fee Fee Fee Fee Fee Description 1255 1,960 2255 980 Extension for reply within fifth month Fee Paid Code (\$) Code (\$) 2401 1401 320 160 Notice of Appeal 1001 740 2001 370 Utility filing fee 1402 320 2402 160 Filing a brief in support of an appeal 1002 330 2002 165 Design filing fee 1403 280 2403 140 Request for oral hearing 1003 510 2003 255 Plant filing fee Petition to institute a public use 1451 1451 1,510 1,510 1004 740 2004 370 Reissue filing fee proceeding Petition to revive - unavoidable 2005 Provisional filing fee 1452 2452 55 1005 160 80 110 1453 1,280 2453 640 Petition to revive - unintentional SUBTOTAL (1) 1501 1.280 2501 640 Utility issue fee (or reissue) 1502 460 2502 230 Design issue fee 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 2503 1503 620 310 Plant issue fee Fees from 1460 130 1460 130 Petitions to the Commissioner Fee Paid Extra Claims below 1807 50 1807 50 Petitions related to provisional Total Claims applications Submission of Information Disclosure 1806 180 1806 180 Independent Claims 8021 40 8021 Recording each patent assignment Multiple per property (times number of Dependent properties) Large Entity Small Entity 1809 740 2809 370 Filing a submission after final rejection (37 CFR § 1.129(a)) Fee Description For each additional invention to be 1810 740 2810 370 Code (\$) Code (\$) examined (37 CFR § 1.129(b)) 202 18 2202 Claims in excess of 20 740 2801 Request for Continued Examination 1801 370 1201 84 2201 42 Independent claims in excess of 3 (RCE) 1203 2203 Multiple dependent claim, if not paid 280 140 Request for expedited examination 1802 1802 900 900 ** Reissue independent claims 1204 84 2204 42 of a design application over original patent ** Reissue claims in excess of 20 Other fee (specify) 1205 18 2205 and over original patent (\$)400 SUBTOTAL (2) *Reduced by Basic Filing Fee Paid SUBTOTAL (3)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

Jean M. Lockyer, Ph.D. | Registration No. (Attorney/Agent) | 44,879 | Telephone | 415-576-0200

Signature

Date 1 | 12 December 2002

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TOWNSEND and TOWNSEND and CREW LLL

TOWNSEND and TOWNSEND and CREWITH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

SCALA et al.

Application No.: 09/869,003

Filed: September 25, 2001

For: NOVEL HIV RELATED PEPTIDES

Examiner:

Jeffrey J. Stucker

Art Unit:

1648

RESPONSE TO RESTRICTION

REQUIREMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the Restriction Requirement mailed September 12, 2002, Applicants elect Group I, claims 1-10, drawn to an antigenic peptide. Applicants further elect SEQ ID NO:1. Enclosed herewith is a fee authorization to extend the time for response for two months.

The foregoing election is made with traverse and the groups set forth by the Examiner stem from a common concept and theory and are thus related. According to the MPEP, where claims can be examined together without undue burden, the Examiner must examine the claims on the merits even though they are directed to independent and distinct inventions. *See*, the MPEP at 803.01. In establishing that an "undue burden" would exist for co-examination of claims, the Examiner must show that examination of the claims would involve substantially different prior art searches, making the co-examination burdensome. Applicants respectfully submit that examination of the

SCALA et al.

Application No.: 09/869,003

Page 2

claims in the groups would not create an undue burden. For example, claims drawn to phagotopes and methods of using peptides can be examined together with the claims drawn to the peptides without undue burden, as a search that encompasses the sequences will also identify art related to phagotopes and the use of the peptides. Applicants therefore respectfully request withdrawal of the restriction requirement.

Further, in accordance with the Examiner's comments on page 4 of the Office Action, Applicants respectfully request rejoinder of the methods of use claims, once the product claims are determined to be allowable.

If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone the undersigned at 415-576-0200.

Respectfully submitted,

Jean M. Lockyer, Ph.D.

Reg. **M**o. 44,879

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

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